

Roll No.

Total No. of Pages : 02

Total No. of Questions : 09

**BBA (Sem-5)**  
**FINANCIAL MARKETS AND SERVICES**

Subject Code : BBA-522-18

M.Code : 78199

Date of Examination : 14-06-2023

Time : 3 Hrs.

Max. Marks : 60

**INSTRUCTIONS TO CANDIDATES :**

1. SECTION-A is COMPULSORY consisting of TEN questions carrying TWO marks each.
2. SECTIONS-B consists of FOUR Sub-sections : Units-I, II, III & IV.
3. Each Sub-section contains TWO questions each, carrying TEN marks each.
4. Student has to attempt any ONE question from each Sub-section.

**SECTION-A**

**1. Write briefly :**

- a) Treasury Bills
- b) Financial Markets
- c) Commercial Bills
- d) Stock Exchange
- e) Leasing
- f) Credit Rating
- g) Stock Broking
- h) Sponsor of Mutual Fund
- i) Venture Capital
- j) Closed Ended Schemes.

## SECTION-B

### UNIT-I

2. *'Financial system facilitates mobilization and channelization of funds from deficient units to surplus units'*. Discuss the role of financial system with suitable examples.
3. Discuss in detail the disclosure and investor protection guidelines issued by SEBI for protecting the state of retail investors in India.

### UNIT-II

4. Differentiate between commercial papers and certificate of deposits. Discuss in detail the features of commercial papers and certificate of deposits.
5. What do you mean by rolling settlement? Briefly discuss the trading and settlement system in Indian capital market.

### UNIT-III

6. What do you mean by financial services? Discuss in detail the regulatory framework of financial services in India.
7. What do you mean by factoring services? Discuss the features and advantages of factoring services in India.

### UNIT-IV

8. Define depository. Discuss in detail the merits and challenges of dematerialization of securities in India. Briefly discuss about NSDL and CDSL.
9. What do you mean by debt securitization? Discuss the process of securitization of securities. Also briefly discuss the application of securitization in India.

**NOTE : Disclosure of Identity by writing Mobile No. or Marking of passing request on any paper of Answer Sheet will lead to UMC against the Student.**